

**DETAILS OF NOTIFIER FOR TRANSMISSION CASES**

NAME	
FATHER'S NAME	
DEMISE'S NAME	
ADDRESS	
PAN	
EMAIL ID	
MOBILE NO	
RELATIONSHIP WITH DECEASED	

I/We hereby declare that provided above information is true to the best of my knowledge.

**Regards,**

Name :

Signature :

Date :

Place :

---

**ZUARI FINSERV LIMITED**

Plot No. 2, Zamrudpur Community Centre, Kailash Colony Extension, New Delhi -110048 | Tel: 011-48513300

**Email:** dp@adventz.zuarimoney.com | **Web:** www.zuarimoney.com | **Registered Office:** Jai kisaan Bhawan, Zuarinagar, Goa- 403726 (India), **CIN:** U45400GA2013PLC007383 | **Customer Care :** 080 6956 8700

# FORM 30 TRANSMISSION FORM

Date 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

To,

**ZUARI FINSERV LIMITED**

Plot No. 2, Zamrudpur Community Centre, Kailash Colony Extension, New Delhi 110048

## 1) I/We, the undersigned, being the

Executor(s) of the will

Legal heir(s)

Administrator(s) of the Estate

Joint holder(s)

Successor(s) to the Estate

Nominee(s)

of Late Mr./Ms. \_\_\_\_\_,

Late Mr./Ms. \_\_\_\_\_

and Late Mr./Ms. \_\_\_\_\_, the

deceased, of which \*nomination granted Succession Certificate/ Probate of Will/ Will/ Letter of Administration/ Court Decree as may be applicable in terms of the Indian Succession Act, 1925 or Legal Heirship Certificate (or its equivalent Certificate)

issued by competent Government Authority, was duly granted / issued to me / us on the 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

hereby request you to register me/us as the beneficial owner(s) in respect of the securities standing in the name of the said

deceased under **Client ID**

--	--	--	--	--	--	--	--

**DP ID IN301055**

## (2) I/We give hereunder the details of my/our account with a Participant to which the security balances are requested to be transmitted:

Sr. No.	Name (Claimant(s) Name)	Client ID								DP ID							
1																	
2																	
3																	

## (3) List of Documents enclosed (for Individual accounts) (tick as applicable):

### A For Surviving Holder(s) in a joint account:

- ☐ i Original death certificate or copy of death certificate attested by the joint account holder(s) subject to verification with the original or copy of the death certificate duly attested by a notary public or by a gazetted officer;
- ☐ ii In case the account of the Surviving Holder(s) is not with the Participant i.e. "ZUARI FINSERV LIMITED", copy of Client Master Report of the Surviving Holder(s).  
(Client Master Report should be duly stamped & attested by the target DP as well as self-attested by the Surviving Holder(s))

### B For Nominee(s) of the deceased:

- ☐ i Original death certificate or copy of death certificate attested by the Nominee(s) subject to verification with the original or copy of the death certificate duly attested by a notary public or by a gazetted officer;
- ☐ ii Self-attested copy of PAN card of the Nominee(s);
- ☐ iii In case the account of the Nominee(s) is not with the Participant i.e. "ZUARI FINSERV LIMITED", copy of Client Master Report of the account of the Nominee(s);  
{Client Master Report should be duly stamped & attested by the target DP as well as self-attested by the Nominee(s)}
- ☐ iv In case the Nominee is a minor, Copy of Birth Certificate;
- ☐ v In case of Nominee being a minor / of unsound person, KYC of the Guardian of Minor / of unsound person;

**C** For legal heir(s) or claimant(s), where deceased was a sole holder and no nomination in the account - Value of holding **not exceeding Rs. 15,00,000 (Rupees Fifteen Lakhs)** as on the date of application:

- i** Original death certificate or copy of the death certificate attested by the legal heirs (s) or claimant(s) subject to verification with the original, or copy of the death certificate duly attested by a notary public or by a gazetted officer;
- ii** Self-attested copy of PAN card of the legal heir(s) / claimant(s);
- iii** In case the account of the legal heirs(s) / claimant(s) is/are not with the Participant, copy of Client Master Report of the account of the legal heirs(s) / claimant(s);  
*{Client Master Report should be duly stamped & attested by the target DP as well as self-attested by the legal heir(s)/ claimant(s)}*
- iv** In case the claimant/legal heir is a minor, Copy of Birth Certificate;
- v** In case of claimant being a minor / of unsound mind, KYC of the Claimant Guardian;
- vi** A Notarized Affidavit (**Annexure 1**), in the format provided in Form 30 from all legal heir(s)/ Claimant(s) made on non-Judicial stamp paper of appropriate value, to the effect of identification and claim of legal ownership to the securities; *However, in case the legal heir(s)/claimant(s) are named in any of the Succession Certificate or Probate of Will or Will or Letter of Administration as may be applicable in terms of Indian Succession Act, 1925 (39 of 1925), or Legal Heirship Certificate or its equivalent certificate issued by a competent Government Authority, an affidavit from such legal heir(s)/ claimant(s) alone shall be sufficient;*
- vii** Copy of the Succession Certificate (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer;

OR

Copy of Letter of Administration (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer;

OR

Copy of Court Decree (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer;

OR

Copy of Probate of Will\* (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer;

*(\*Probate of Will shall be only insisted on, if applicable in terms of Indian Succession Act, 1925)*

OR

Copy of Will\*\* (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer shall be accompanied with a Notarised Indemnity Bond (**Annexure 2**) from the legal heir(s) / claimant(s) to whom the securities are transmitted, made on non-judicial stamp paper of appropriate value in the format specified in Form 30;

*(\*\* Will duly executed in terms of the Indian Succession Act, 1925)*

OR

Copy of Legal Heirship Certificate (or its equivalent) issued by a Competent Government Authority duly attested by a Notary Public or by a Gazetted Officer or attested by the legal heir(s) / claimant(s) subject to verification with the original, and accompanied with

- (i) A Notarized Indemnity Bond (**Annexure 2**) from the legal heir(s) /claimant(s) to whom the securities are transmitted, made on non-judicial stamp paper of appropriate value in the format specified in Form 30; and
- (ii) No Objection Certificate(s) (**Annexure 3**) from all the non-claimants stating that they have relinquished their rights to the claim for transmission of securities, duly attested by a notary public or by a gazetted officer in the format specified in Form 30.

**viii** In case of non-availability of documents mentioned in **Para C-vii**, the following shall be submitted along with other documents mentioned above;

- a** Indemnity (format enclosed as **Annexure 2**)

- b** No Objection Certificate(s) from all the legal heir(s) / non-claimants, clearly relinquishing their rights to the claim for transmission of securities (*format enclosed as **Annexure 3***)

OR

Copy of Family Settlement Deed duly executed by all legal heir(s) , duly attested by a notary public or by a gazetted officer [as an alternate to No objection certificate(s)]

- D** For legal heir(s)/claimant(s) where the deceased was a sole holder and no nomination registered in the account - Value of holding **exceeds Rs. 15,00,000 (Rupees Fifteen Lakhs)** as on the date of application:

- i** Original death certificate or copy of the death certificate attested by the legal heirs(s) or claimant(s) subject to verification with the original, or copy of the death certificate duly attested by a notary public or by a gazetted officer;

- ii** Self-attested copy of PAN of the legal heir(s) / claimant(s);

- iii** In case the account of the legal heir(s) / claimant(s) is/are not with the Participant, copy of Client Master Report of the account of the legal heir(s) / claimant(s);  
(*Client Master Report should be duly stamped & attested by the target DP as well as self-attested by the legal heir(s)/ claimant(s)*)

- iv** Copy of the Succession Certificate (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer;

OR

Copy of Letter of Administration (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer;

OR

Copy of Court Decree (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer;

OR

Copy of Probate of Will\* (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer;

(\*Probate of Will shall be only insisted on, if applicable in terms of Indian Succession Act, 1925)

OR

Copy of Will\*\* (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer shall be accompanied with a Notarised Indemnity Bond (**Annexure 2**) from the legal heir(s) / claimant(s) to whom the securities are transmitted, made on non-judicial stamp paper of appropriate value in the format specified in Form 30;

(\*\* Will duly executed in terms of the Indian Succession Act, 1925)

OR

Copy of Legal Heirship Certificate (or its equivalent) issued by a Competent Government Authority duly attested by a Notary Public or by a Gazetted Officer or attested by the legal heir(s) / claimant(s) subject to verification with the original, and accompanied with

- (i) A Notarized Indemnity Bond (**Annexure 2**) from the legal heir(s) /claimant(s) to whom the securities are transmitted, made on non-judicial stamp paper of appropriate value in the format specified in Form 30; and  
(ii) No Objection Certificate(s) (**Annexure 3**) from all the non-claimants stating that they have relinquished their rights to the claim for transmission of securities, duly attested by a notary public or by a gazetted officer in the format specified in Form 30.

- v** In case the claimant/legal heir is a minor, Copy of Birth Certificate;

- vi** In case of claimant being a minor / of unsound mind, KYC of the Guardian of minor / of unsound person;

- vii** A Notarized Affidavit (**Annexure 1**), in the format provided in Form 30 from all legal heir(s)/ claimant(s) made on non-judicial stamp paper of appropriate value, to the effect of identification and claim of legal ownership to the securities; Provided that, in case the legal heir(s)/claimant(s) are named in any of the Succession Certificate or Probate of Will or Will or Letter of Administration as may be applicable in terms of Indian Succession Act, 1925 (39 of 1925), or Legal Heirship Certificate or its equivalent certificate issued by a competent Government Authority, an affidavit from such legal heir(s)/claimant(s) alone shall be sufficient;

**(4) Declaration:**

*(Applicable only for legal heir(s)/ claimant(s) where deceased was a sole holder and no nomination in the account - Value of holding exceeding / not exceeding Rs. 15,00,000 (Rs. Fifteen Lakhs) as on the date of application)*

We declare that the below mentioned person(s) are the only legal heir(s) /claimant(s) of the deceased and there are no other legal heir(s)/claimant(s). Of these, as specified below, some/all of them are legal heir(s) / non-claimant(s) and some of them have given a No Objection Certificate relinquishing their rights on the securities held in the aforesaid Beneficial Owner account in favour of other legal heir(s)/claimant(s). Details are given below:

Sr. No.	Name of the legal heir(s)	Specify whether a legal heir(s) / claimant(s) given a No Objection Certificate
1		
2		
3		
4		

**(5) Signatures:**

Sr. No.	Name of claimant(s)	Signature of claimant(s)
1		
2		
3		

**Notes:**

1. This request form should be signed by the surviving joint holder(s)/ legal heir(s)/ claimant(s)/ nominee, as the case may be.
2. \* Strike off whichever is not applicable

**AFFIDAVIT**

Individual Affidavits to be given by ALL the Legal Heirs OR Legal Heirs named in Succession Certificate\*/ Probate of Will\*/ Will\*/ Letter of Administration\*/ Legal Heirship Certificate\*(or its equivalent certificate)\*/Court Decree\*

**(For Transmission of securities on death of Sole Holder where NO NOMINATION has been registered)**

(Each Deponent (legal heir) shall sign separate Affidavits if the value of holding not exceeding ₹ 15 lakh on the date of application)

I, \_\_\_\_\_ Son / daughter of \_\_\_\_\_  
residing at \_\_\_\_\_

do hereby

solemnly affirm and state on oath as follows.

That Mr. /Mrs \_\_\_\_\_ ("the deceased holder")

held the following securities in his / her name as single holder:

Sno	Name of the Company	ISIN										No. of securities held
1		I	N									
2		I	N									
3		I	N									
4		I	N									
5		I	N									
6		I	N									
7		I	N									
8		I	N									
9		I	N									
10		I	N									

- ☐ That the aforesaid deceased holder died intestate leaving behind him/her, the following persons as the only surviving heirs as per the Succession Certificate/ Legal Heirship Certificate(or its equivalent certificate)/Court Decree dated \_\_\_\_\_ / according to the Law of Intestate Succession by which he/she was governed at the time of his/her death and without registering any nominee. \*

OR

- ☐ That the aforesaid deceased holder died leaving behind the following persons as the legatees as per the Will/ Probated Will/ Letter of Administration dated \_\_\_\_\_ and without registering any nominee. \*

A copy of the **Succession Certificate\*/ Probate of Will\*/ Will\*/ Letter of Administration\*/ Legal Heirship Certificate\*(or its equivalent certificate)\*/ Court Decree\*** is attached herewith.

Sno	Name of the Legal Heir(s)	Address and contact details	Age	Relation with the Deceased
1				
2				
3				

Signature of the Deponent: \_\_\_\_\_

That among the aforesaid legal heirs, Master/ Kum. \_\_\_\_\_  
aged \_\_\_\_\_ years is a minor and is being represented by Mr./Ms. \_\_\_\_\_  
(name of the Guardian) being his / her father / mother / legal guardian.

Signature of the Deponent: \_\_\_\_\_

**VERIFICATION**

I hereby solemnly affirm and state that what is stated herein above is true and correct and nothing has been concealed therein  
and that I am competent to contract and entitled to rights and benefits of the abovementioned securities of the deceased.

Signature of the Deponent: \_\_\_\_\_

Solemnly affirmed at \_\_\_\_\_ on the \_\_\_\_\_ day of \_\_\_\_\_ of \_\_\_\_\_.

Signed in the presence of

Notary Full Name \_\_\_\_\_

and Address \_\_\_\_\_

\_\_\_\_\_  
(Signature of Notary)

Pin: \_\_\_\_\_

Regd. No \_\_\_\_\_

Use space below to affix

Notarial/Court Fee Stamp	Official Seal of Notary

**Please note;**

*\* Strikeout whichever is not applicable*

(To be submitted on non-judicial stamp paper of appropriate value)  
(Note: To be executed in the presence of Public Notary / Gazetted Officer)

**Annexure 2**

**INDEMNITY BOND**

Bond of Indemnity to be furnished jointly by all Legal Heir(s) including the Claimant(s)

**(For Transmission of Securities on death of Sole Securities' Holder, where no nomination has been registered)**

*(To be given by the legal heir(s)/ claimant(s) if the value of holding not exceeding ₹ 15 lakh on the date of application)*

I/We do hereby solemnly affirm and state on oath as follows:

That Mr. /Ms. \_\_\_\_\_ (Name of the deceased holder)

was holding the following securities:

Sno	Name of the Company	ISIN										No. of securities held
1		I	N									
2		I	N									
3		I	N									
4		I	N									
5		I	N									
6		I	N									
7		I	N									
8		I	N									
9		I	N									
10		I	N									

That the aforesaid deceased holder died intestate on \_\_\_\_\_, without registering any nominee, leaving behind him/her the following persons as the only surviving legal heirs, according to the laws of intestate succession applicable to him/her by which he/she was governed at the time of his/her death.

Sno	Name of the Legal Heir(s)	Address and contact details	Age	Relation with the Deceased
1				
2				
3				
4				
5				

Signed, sealed and delivered by the said Legal Heir(s)/ Claimant(s): \_\_\_\_\_





OR

That the aforesaid deceased holder died on \_\_\_\_\_, without registering any nominee, leaving behind him/her the following persons as the only surviving legal heirs, according to the laws of testamentary succession.

Sno	Name of the Legal Heir(s)	Address and contact details	Age	Relation with the Deceased
1				
2				
3				
4				

Therefore, I/We, the Legal Heir(s)/Claimant(s) and deponent(s) herein has/have, approached **ZUARI FINSERV LIMITED** with a request to transmit the aforesaid securities in the name of the undersigned Mr. /Ms. \_\_\_\_\_

\_\_\_\_\_  
[Name(s) of the legal heir(s)/ claimant(s)],

on my/our behalf, without insisting on production of a Succession Certificate/ Probate of Will / Letter of Administration or any Court order, for which we execute an indemnity as is herein contained and on relying on the information herein given by us, believing the same to be true.

In consideration therefore of my/our request to transfer/transmit the above said securities to the name of the undersigned Mr. /Ms. \_\_\_\_\_

\_\_\_\_\_  
[Name(s) of the legal heir(s)/ claimant(s)],

I/We hereby jointly and severely agree and undertake to indemnify and keep indemnified, saved, defended, harmless, **ZUARI FINSERV LIMITED** and its successors and assigns for all time hereafter against all losses, costs, claims, actions, demands, risks, charges, expenses, damages, etc., whatsoever which they may suffer and/or incur by reason of transferring the said securities as herein above mentioned, at my/our request to the undersigned Mr. /Ms. \_\_\_\_\_

\_\_\_\_\_  
[Name(s) of the legal heir(s)/ claimant(s)],

without insisting on production of a Succession Certificate / Probate of Will / Letter of Administration or any Court order.

IN WITNESS WHEREOF THE said Mr. /Ms. \_\_\_\_\_

\_\_\_\_\_  
[Name(s) of the legal heir(s)/ claimant(s)]

have here unto set their respective hands and seals this \_\_\_\_\_ day of \_\_\_\_\_ of \_\_\_\_\_.

Signed, sealed and delivered by the said Legal Heir(s)/ Claimant(s): \_\_\_\_\_



Signed, sealed and delivered by the said Legal Heir(s)/ Claimant(s):

Sno	Name the Legal Heir(s)/ Claimant(s)	Signature of the Legal Heir(s)/ Claimant(s)
1		
2		
3		
4		
5		

Witness 1:

Name Mr. /Ms. \_\_\_\_\_ and Signature \_\_\_\_\_

Witness 2:

Name Mr. /Ms. \_\_\_\_\_ and Signature \_\_\_\_\_

**Signed before me**

Notary/ Gazetted Officer

At: \_\_\_\_\_ (place)

Full Name \_\_\_\_\_

On: \_\_\_\_\_ (date)

and Address \_\_\_\_\_

\_\_\_\_\_

Pin: \_\_\_\_\_

Regd. No \_\_\_\_\_

\_\_\_\_\_  
(Signature of Notary/ Gazetted Officer)

Use space below to affix

Notarial/Court Fee Stamp	Official Seal of Notary/ Gazetted Officer

(To be submitted in non-judicial stamp paper of appropriate value)  
(Note: To be executed in the presence of a Public Notary / Gazetted Officer)

**Annexure 3**

**NO-OBJECTION CERTIFICATE FROM THE LEGAL HEIR(S)**

Format of NOC from other Legal Heir(s) for Transmission of Securities in favour of the Claimant(s) wherein the Sole Holder is deceased and **NO NOMINATION has been registered**

*(To be given by the legal heir(s)/ claimant(s) if the value of holding not exceeding ₹ 15 lakh on the date of application)*

**(DECLARATION)**

I/We, the legal heir(s) of late Mr. / Ms (name of the deceased holder) declare as follows-

i. That the above named deceased holder was holding the following securities in his / her name as single holder:

Sno	Name of the Company	ISIN										No. of securities held
1		I	N									
2		I	N									
3		I	N									
4		I	N									
5		I	N									
6		I	N									
7		I	N									
8		I	N									
9		I	N									
10		I	N									

ii. That the deceased had died intestate on DD / MM / YYYY and without registering any nominee.

iii. That the following Claimant(s) has/have applied for the transmission of the aforesaid securities:

Sno	Name of the Claimant(s)	Address and contact details	Age	Relation with the Deceased
1				
2				
3				

iv. That I/We are the legal heir(s) of the deceased holder, apart from the Claimant(s) who has/ have applied for transmission of the aforesaid securities and our details are as follows:

Sno	Name of the Legal Heir(s)	Address and contact details	Age	Relation with the Deceased
1				
2				
3				

Signature of the Deponent(s): (1) \_\_\_\_\_ (2) \_\_\_\_\_ (3) \_\_\_\_\_

- v. I/We hereby declare that, I/We do not desire to make any claim in respect of the title to the aforesaid securities held by the deceased and I/We hereby wilfully relinquish & renounce all my /our rights in respect of the aforesaid securities and shall have no legal claim upon said securities in future.
- vi. Accordingly, I/We declare that I/We have NO OBJECTION WHATSOEVER in **ZUARI FINSERV LIMITED** transmitting the aforesaid securities in favour of the Claimant(s) Mr. / Ms. \_\_\_\_\_.
- vii. I/We hereby state that whatever is stated herein above are true to the best of my/our knowledge and nothing has been concealed therein.

Sno	Name the Legal Heir(s) who are Non-Claimant(s)	Signature of the Legal Heir(s) who are Non-Claimant(s)
1		
2		
3		

#### VERIFICATION

We hereby solemnly affirm and state that what is stated herein above is true to our knowledge and nothing has been concealed therein and that we are competent to contract and entitled to rights and benefits of the above mentioned securities.

Signature of the Deponent(s): (1) \_\_\_\_\_ (2) \_\_\_\_\_ (3) \_\_\_\_\_

Solemnly affirmed at \_\_\_\_\_ on the \_\_\_\_\_ day of \_\_\_\_\_ of \_\_\_\_\_.

Notary Full Name \_\_\_\_\_

Signed in the presence of \_\_\_\_\_

and Address \_\_\_\_\_

\_\_\_\_\_  
(Signature of Notary)

Pin: \_\_\_\_\_

Regd. No \_\_\_\_\_

Use space below to affix

Notarial/Court Fee Stamp	Official Seal of Notary